

Audit attestation letter

To whom it may concern,

APCER – Associação Portuguesa de Certificação, located in O’Porto Bessa Leite Complex, Rua António Bessa Leite 1430 1º Esq, 4150-074 Porto, Portugal, is an accredited by IPAC with the certificate code C0009 for the certification of trust services according to NP EN ISO/IEC 17065:2014, Regulation (EU) No 910/2014 and ETSI EN 319 403, v2.2.2.

APCER therefore states that the TSP - Trust Services Provider **Multicert - Serviços de Certificação Electrónica, S.A.** was audited by the eIDAS qualified auditor **Paulo Jorge Martins Borges** for the following trust service: **“Non-Qualified certificates for electronic signatures”**.

Audit period:

The period of time audit was carried out remotely at TSP sites (Porto and Lisbon, both in Portugal) due to COVID-19 pandemic restrictions.

- Start/end date period covered by the audit: 2019-12-06 / 2020-04-06
- Audit Plan tasks start/end period: 2020-04-28
- On-site audit tasks: 2020-04-28

A local, in person, physical security controls audit was carried out on 2020-07-07.

Audit methodology:

APCER states that during the period of time above identified the following audit tasks were executed:

- Stage 1 – TSP and PKI documentation and practices
- Stage 2 – Physical security, technical infrastructure, incident and business continuity management
- Stage 3 – Issued specimen certificates for compliance analysis

During the stage 3 evidences were collected and duly analysed in order to ensure the compliance of the issued certificates.

Associated services:

Root CA	<u>MULTICERT Root Certification Authority 01</u>
Distinguished Name:	CN = MULTICERT Root Certification Authority 01 O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	544DA5BC4035565A
SHA-256 fingerprint:	604D32D036895AED3BFEEFAEB727C009EC0F2B3CDFA42A1C71730E6A72C3BE9D4
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Fri Apr 04, 2014 09:59:47 GMT+0100 (WEST) Not after: Mon Apr 04, 2039 09:59:47 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Advanced Certification Authority 005</u>
Distinguished Name:	CN = MULTICERT Advanced Certification Authority 005 OU = Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	59C45B154D493A74C76698FDB159DB00
SHA-256 fingerprint:	24EDD4E503A8D3FDB5FFB4AF66C887359901CBE687A5A0760D10A08EED99A7C3
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Mon Dec 09, 2019 19:35:24 GMT+0100 (WEST) Not after: Tue Jun 08, 2032 19:35:24 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Advanced Certification Authority 001</u>
Distinguished Name:	CN = MULTICERT Advanced Certification Authority 001 OU = Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	3A4170135EF7190B
SHA-256 fingerprint:	EF72A054691F855D52A31988439B75BDE49F03899ADF0EBC142CB96E3483D6F7
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Tue Dec 12 2017 16:54:55 GMT+0100 (WEST) Not After: Wed Jun 12 2030 16:54:55 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Certification Authority 002</u>
Distinguished Name:	CN = MULTICERT Certification Authority 002 OU = Accredited Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	3155F94F619041C1
SHA-256 fingerprint:	914A87BDB2B35F73AEF7C213309A230921CD182C5668A6B5C4BE9BFF6A1C03B3
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Wed May 21, 2014 15:33:06 GMT+01000 (WEST) Not after: Fri Sep 19, 2025 15:33:06 GMT+0100 (WEST)

Audit Criteria:

The TSP was audited according to the following audit criteria, considering the requirements of the ETSI EN 319 403, V2.2.2 for the Trust Service Provider Conformity Assessment.

Standard	Description
EN 319 401 v2.2.1	General Policy Requirements for Trust Service Providers
EN 319 411-1 v1.2.2	Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General Requirements Full audit: General requirements, LCP, NCP, NCP+
CEN/TS 419 241 v2014	Security Requirements for Trustworthy Systems Supporting Server Signing

CA applied Policies:

The TSP **Multicert - Serviços de Certificação Electrónica, S.A.** has disclosed and maintained effective controls over its key and certificate life cycle management business and CA environmental control practices within the following Certification Practices Statement (CPS) and Certificate Policy (CP) documents:

- Multicert Certification Practices Statement (v7.0)
- Multicert Certificate Policy (v5.0)

Final Remarks:

The above audit shall be considered as a full audit for certification, in order to allow the TSP trust services publication on the TSL - Trust Services List.

The audit was completed successfully without critical findings.

The next audit shall be successfully finalized up to **2021-04-30**, in order to maintain the certificate validity as stated in the corresponding certificate issued by APCER for this TSP.

Audit letter issuance signature:

This audit letter was issued at **2020-08-19**.

This document is electronically signed by:

Lead Auditor

Paulo Borges

APCER CEO

José Leitão