

Audit attestation letter

To whom it may concern,

APCER – Associação Portuguesa de Certificação, located in O’Porto Bessa Leite Complex, Rua António Bessa Leite 1430 1º Esq, 4150-074 Porto, Portugal, is an accredited by IPAC with the certificate code C0009 for the certification of trust services according to NP EN ISO/IEC 17065:2014, Regulation (EU) No 910/2014 and ETSI EN 319 403, v2.2.2.

APCER therefore states that the TSP - Trust Services Provider **Multicert - Serviços de Certificação Electrónica, S.A.** was audited by the eIDAS qualified auditor **Paulo Jorge Martins Borges** for the following trust service: **“Qualified certificates for electronic signatures”**.

Audit period:

The period of time audit was carried out remotely at TSP sites (Porto and Lisbon, both in Portugal) due to COVID-19 pandemic restrictions.

- Start/end date period covered by the audit: 2019-07-19 / 2020-04-06
- Audit Plan tasks start/end period: 2020-04-07 / 2020-04-17
- On-site audit tasks:
 - 2020-04-07, 2020-04-08, 2020-04-15, 2020-04-17: Multicert CA and RA audit
 - 2020-05-14: Ordem dos Médicos (external registration authority)
 - 2020-05-14: Assembleia da República (external registration authority)
 - 2020-04-16: Multicert Video-ID audit

A local, in person, physical security controls audit was carried out on 2020-07-07.

Audit methodology:

APCER states that during the period of time above identified the following audit tasks were executed:

- Stage 1 – TSP and PKI documentation and practices
- Stage 2 – Physical security, technical infrastructure, incident and business continuity management
- Stage 3 – Issued specimen certificates for compliance analysis

During the stage 3 evidences were collected and duly analysed in order to ensure the compliance of the issued certificates.

Associated services:

Root CA	<u>MULTICERT Root Certification Authority 01</u>
Distinguished Name:	CN = MULTICERT Root Certification Authority 01 O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	544DA5BC4035565A
SHA-256 fingerprint:	604D32D036895AED3BFEEFAEB727C009EC0F2B3CDFA42A1C71730E6A72C3BE9D4
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Fri Apr 04, 2014 09:59:47 GMT+0100 (WEST) Not after: Mon Apr 04, 2039 09:59:47 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Trust Services Certification Authority 005</u>
Distinguished Name:	CN = MULTICERT Trust Services Certification Authority 005 OU = Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	1099E1DB9A2F45AB7A770E509956B19E
SHA-256 fingerprint:	48A153E21E1B8C64DCBDCBA034DAB2EF8527A779A1BA2AA238ACC48A2C6FCACF
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Mon Dec 09, 2019 19:34:37 GMT+0100 (WEST) Not after: Tue Jun 08, 2032 19:34:37 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Trust Services Certification Authority 002</u>
Distinguished Name:	CN = MULTICERT Trust Services Certification Authority 002 OU = Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	669D6A74F30AEC5A
SHA-256 fingerprint:	82CFDAE3A70B6E375A96ED3CFC912E81A020104A8BA886272B5963ADECA24411
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Tue Dec 12, 2017 16:58:14 GMT+0100 (WEST) Not after: Wed Jun 12, 2030 16:58:14 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Certification Authority 002</u>
Distinguished Name:	CN = MULTICERT Certification Authority 002 OU = Accredited Certification Authority O = MULTICERT - Serviços de Certificação Electrónica S.A. C = PT
Certificate serial number:	3155F94F619041C1
SHA-256 fingerprint:	914A87BDB2B35F73AEF7C213309A230921CD182C5668A6B5C4BE9BFF6A1C03B3
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Wed May 21, 2014 15:33:06 GMT+01000 (WEST) Not after: Fri Sep 19, 2025 15:33:06 GMT+0100 (WEST)

Intermediate CA's	<u>MULTICERT Entidade de Certificação 001</u>
Distinguished Name:	CN = MULTICERT - Entidade de Certificação 001, OU = Entidade de Certificação Credenciada, O = MULTICERT - Serviços de Certificação Electrónica S.A., C = PT
Certificate serial number:	7F95A17C259E4365
SHA-256 fingerprint:	4A13D7EDE3191D32FDA0FFBB6C067F119050E1542FD0715F10E79E2691421AEF
Applied Policy:	Multicert Certification Practices Statement (v7.0) Multicert Certificate Policy (v5.0)
Validity:	Not Before: Tue Nov 29, 2016 18:51:08 GMT+01000 (WEST) Not after: Sat Nov 28, 2020 18:51:08 GMT+0100 (WEST)

Audit Criteria:

The TSP was audited according to the following audit criteria, considering the requirements of the ETSI EN 319 403, V2.2.2 for the Trust Service Provider Conformity Assessment.

Standard	Description
EN 319 401 v2.2.1	General Policy Requirements for Trust Service Providers
EN 319 411-1 v1.2.2	Policy and security requirements for Trust Service Providers issuing certificates; Part 1: General Requirements Full audit: General requirements, LCP, NCP, NCP+
EN 319 411-2 v2.2.2	Policy and security requirements for Trust Service Providers issuing certificates; Part 2: Requirements for trust service providers issuing EU qualified certificates Full audit: General requirements, QCP-n, QCP-n-qscd
EN 319 412-1 v1.1.1	Certificate Profiles; Part 1: Overview and common data structures
EN 319 412-2 v2.1.1	Certificate Profiles; Part 2: Certificate profile for certificates issued to natural persons
EN 319 412-5 v2.2.1	Certificate Profiles; Part 5: QCStatements
CEN/TS 419 241 v2014	Security Requirements for Trustworthy Systems Supporting Server Signing

The following complementary standards were considered in this evaluation:

Standard	Description
ETSI TS 119 412-1 V1.2.1	Electronic Signatures and Infrastructures (ESI); Certificate Profiles; Part 1: Overview and common data structure
Despacho GNS 154/2017	Identificação de pessoas físicas através de procedimentos de identificação à distância com recurso a videoconferência
Despacho GNS 155/2017	Criação de assinaturas eletrónicas à distância, com gestão por um prestador qualificado de serviços de confiança em nome do signatário

CA applied Policies:

The TSP **Multicert - Serviços de Certificação Electrónica, S.A.** has disclosed and maintained effective controls over its key and certificate life cycle management business and CA environmental control practices within the following Certification Practices Statement (CPS) and Certificate Policy (CP) documents:

- Multicert Certification Practices Statement (v7.0)
- Multicert Certificate Policy (v5.0)

Final Remarks:

The above audit shall be considered as a full audit for certification, in order to allow the TSP trust services publication on the TSL - Trust Services List.

The audit was completed successfully without critical findings.

The next audit shall be successfully finalized up to **2021-04-30**, in order to maintain the certificate validity as stated in the corresponding certificate issued by APCER for this TSP.

Audit letter issuance signature:

This audit letter was issued at **2020-08-19**.

This document is electronically signed by:

Lead Auditor

Paulo Borges

APCER CEO

José Leitão